

Docket No.: M2062-700010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: G. Stephen LeGraw  
Serial No: 09/754,492  
Confirmation No: 2903  
Filed: January 4, 2001  
For: INFORMATION TRADING SYSTEM AND METHOD  
  
Examiner: Edward Chang  
Art Unit: 3692

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CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being electronically filed in accordance with § 1.6(a)(4), on the 7<sup>th</sup> day of October, 2009.

/Matthew H. Grady/  
Matthew G. Grady (Reg. No. 52,957)

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Commissioner for Patents

**AMENDMENT**

Sir:

In response to the Final Office Action mailed April 15, 2009, and in conjunction with a Request for Continued Examination, please amend the above-identified application as follows. Changes to the Claims are shown by strike through (for deleted matter) and underlining (for added matter).

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 15 of this paper.